

**Method and apparatus for inducing an index of refraction  
change on a substrate sensitive to electromagnetic radiation**

**FIELD OF THE INVENTION**

5       The invention relates to a method and to an apparatus for altering the index of refraction of a substrate, such as a waveguide. The invention can be used to fabricate Bragg gratings over optical fibers.

10   **BACKGROUND OF THE INVENTION**

      An optical waveguide, such as an optical fiber is formed by a core section transporting the electromagnetic radiation, such as a light beam, and a cladding section that surrounds the core to confine the electromagnetic  
15 radiation to the core. The electromagnetic radiation remains captive in the core by virtue of the difference between the refractive indexes of the core and the cladding sections and their geometries. In an optical fiber, the core section is cylindrical and the cladding surrounding it is  
20 tubular and in contact with the cylindrical core.

      A Bragg grating is an axial periodical change of the refractive index ( $n$ ) between the core and the cladding that induces harmonic back reflections of the light beam at a  
25 certain wavelength ( $\lambda$ ) called the Bragg wavelength. The Bragg wavelength is related to the period length ( $\Lambda$ ) of the refractive index change by  $\lambda = 2n\Lambda$ .

      Since Bragg gratings have a short period length ( $\Lambda$ ) of  
30 index change, this periodic index change is usually created by interfering two coherent energy beams to form a stationary energy interference pattern along a section of the core of the waveguide. This stationary energy

interference pattern will induce a periodic change in the material structure of the exposed section of the core, leading to the axial periodical change of the effective refractive index ( $n$ ) between the core and the cladding. A known approach to form a grating in a waveguide, particularly in an optical fiber, is to expose the core of the waveguide to a stationary interference pattern generated by the crossing over of two Ultra Violet (UV) coherent laser beams, where the interference angle dictates the period. The exposure to the interference pattern initiates semi-permanent material structure changes in the core region. By using proper annealing, one can remove the most unstable part of this semi-permanent material structure changes and obtain, in practice, a permanent grating.

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A periodical change of the refractive index in amplitude, as shown in FIG. 1, will create a Bragg grating with a specific reflection spectrum shape, shown in FIG. 2. The percentage of light reflected will follow a Gaussian distribution shape around the Bragg wavelength, with predetermined side lobe positions and relative levels. As the level of amplitude increases, the Gaussian distribution saturates at the maximal 100% reflection, and the side lobe relative levels increase, as shown in FIG. 3.

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A distribution in amplitude of the periodical change of the refractive index, also called apodization, will change the shape, or distribution, of the reflection spectrum of the Bragg grating, as well as the relative levels of the side lobes. FIG 4 shows a Gaussian type apodization, or axial amplitude profile of the periodical change of the refractive index, and FIG. 5 shows the corresponding reflection spectrum for a saturated Bragg grating. It can be seen that the effect of the Gaussian apodization is to

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increase the slope of the reflection spectrum and to lower the side lobes level. However, this type of Gaussian apodization produces a non-uniform base value index change, of Gaussian shape, which creates a resonant cavity effect at lower wavelengths and produces one or more undesirable bumps in the reflection spectrum. In Figure 4, the base refractive index value is shown as a continuous thick line superimposed over the Gaussian type apodization. To compensate for the base level change of the refractive index, one can use a double Gaussian amplitude distribution around the uniform average refractive index, such as shown in FIG. 6. In this instance, the base refractive index value is constant, as shown by the straight continuous thick line in the graph. The associated reflection spectrum, shown in FIG. 7, will then be symmetrical against the Bragg wavelength with sharper slopes and lower side lobe levels.

Currently available methods to create an apodization on a waveguide, such as the one depicted in Figure 6 are unsatisfactory for a variety of reasons and there is a need in the industry to provide an improved technique and an associated apparatus to perform such operations.

#### **SUMMARY OF THE INVENTION**

In a first broad aspect, the invention provides an apparatus for treating a substrate sensitive to electromagnetic radiation. The apparatus is capable of generating a first beam of electromagnetic radiation and a second beam of electromagnetic radiation that is different from the first beam. The first and the second beams converge toward a treatment area on the substrate, which is exposed to electromagnetic radiation. The first beam and the second beam interact to create an interference

pattern over a limited portion of the treatment area.

In a specific and non-limiting example of implementation, the apparatus is used to treat substrates  
5 that are waveguides, such as optical fibers, among other possible types of substrates that may not necessarily be waveguides. The apparatus has a source of electromagnetic radiation, such as a UV laser. The source UV laser beam is passed through a diffraction mask to produce a first UV  
10 beam and a second UV beam that belong to different diffractive orders. The first and the second UV beams are then passed through respective masks that condition the beams by imparting to the beams selected cross-sectional shapes. The first and the second conditioned UV beams are  
15 directed toward the treatment area of the optical fiber. The treatment area is, therefore, exposed to UV radiation, in a non-uniform manner. The outer portion of the treatment area is exposed to only one of the UV beams, which creates a generally uniform refractive index change.  
20 The central portion of the treatment area is exposed to both of the UV beams, which interact to create an interference pattern, forming a Bragg grating.

In a second broad aspect, the invention provides a  
25 substrate sensitive to electromagnetic radiation having an index of refraction modified by the apparatus broadly defined above.

In a third broad aspect, the invention provides a method  
30 for inducing a modification of the index of refraction of a substrate sensitive to electromagnetic radiation. The method comprises generating a first beam of electromagnetic radiation and a second beam of electromagnetic radiation that is different from the first

beam. The method further includes directing the first and the second beams of electromagnetic radiation toward the substrate to expose a treatment area of the substrate, the first and the second beams interacting to create an  
5 interference pattern over a limited portion of the treatment area.

In a fourth broad aspect, the invention provides a substrate sensitive to electromagnetic radiation having an  
10 index of refraction modified by the method broadly defined above.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

A detailed description of examples of implementation  
15 of the present invention is provided hereinbelow with reference to the following drawings, in which:

Figure 1 is a graph showing a Bragg grating having a uniform amplitude profile;

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Figure 2 is a graph showing the reflection spectrum corresponding to the Bragg grating of Figure 1, in a non-saturated condition;

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Figure 3 is a graph showing the reflection spectrum corresponding to the Bragg grating of Figure 1, in a saturated condition;

Figure 4 is a graph showing a Bragg grating having a  
30 Gaussian amplitude profile;

Figure 5 is a graph showing the reflection spectrum corresponding to the Bragg grating of Figure 4;

Figure 6 is a graph showing a Bragg grating having a double Gaussian amplitude profile and combined to a uniform base refractive index variation;

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Figure 7 is a graph showing the reflection spectrum corresponding to the Bragg grating of Figure 6;

Figure 8a is a diagrammatic representation of an apparatus according to a non-limiting example of implementation of the invention for producing the Bragg grating of Figure 6;

Figure 8b illustrates the area of the substrate exposed to electromagnetic radiation by the apparatus of Figure 8a to create the Bragg grating of Figure 6;

Figure 9 is a more detailed perspective view of the apparatus for producing the Bragg grating of Figure 6, according to a non-limiting example of implementation of the invention;

Figures 10a and 10b illustrate another example of masks that can be used as the apparatus shown in Figure 9.

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In the drawings, embodiments of the invention are illustrated by way of example. It is to be expressly understood that the description and drawings are only for purposes of illustration and as an aid to understanding, and are not intended to be a definition of the limits of the invention.

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**DETAILED DESCRIPTION**

Figure 8a is a diagrammatic representation of an apparatus for inducing a modification of the refractive index in a photosensitive substrate. In the specific and non-limiting example of implementation, the substrate is a waveguide, such as an optical fiber on which is formed a Bragg grating, however it should be expressly noted that other photosensitive substrates could be processed with the apparatus and the method according to the invention without departing from the spirit of the invention. In addition, the invention may also find applications where the modification of the refractive index imparted to the substrate produces something other than a Bragg grating.

In Figure 8a, the reference numeral 5 designates the surface of the optical fiber on which the Bragg grating is to be formed. A pair of beams of electromagnetic radiation 1, 2 are directed at the surface 5. In a specific example of implementation, the beams 1, 2 are coherent beams of light, such as UV laser light. The beams 1, 2 belong to different diffractive orders such as to create an interference pattern, as it will be described below.

The beams 1, 2 are directed to respective masks 3, 4 that condition the beams. In this example, the type of conditioning performed on the beams 1, 2 resides in a modification of the cross-sectional shape of each beam. Specifically, the mask 3 will impart to the beam 1 a cross-sectional shape that corresponds to the envelope of the double Gaussian amplitude profile of the Bragg grating to be created on the surface 5 of the optical fiber. In contrast, the mask 4 will impart to the beam 2 a cross-sectional shape that generally corresponds to the outline

of the treatment area on the surface 5.

The treatment area 6 is shown in Figure 8b. The treatment area 6 has two distinct portions 8 and 10 that are shown in Figure 8b as surfaces having different cross-hatching patterns. The surface 8 is exposed only to the beam conditioned by the mask 4 and has an outline that corresponds to the outline of the beam conditioned by the mask 4. In contrast, the surface 10, which is of a lesser extent than the surface 8, is exposed to the beams conditioned by both masks 3, 4. The outline of the surface 10 corresponds to cross-sectional shape of the beam conditioned by the mask 3. Since the beams conditioned by masks 3, 4 overlap over the surface 10, they create an interference pattern that forms a Bragg grating having a double Gaussian amplitude shape, of the type shown in Figure 6. On the other hand, the surface 8 that is exposed to a single beam undergoes a generally uniform refractive index change. Therefore, the resulting Bragg grating will have a double Gaussian apodization contained in the surface 10 with a base index value that is generally constant.

In the example of implementation of the invention shown in Figures 8a and 8b the height  $H_1$  of the mask 4 is twice the height  $H_2$  of the mask 3, and the curvature 12 of the mask 3 is the inverse of the curvature 14 of the mask 4. When the light beams emerging from the masks 3, 4 have the same intensity, focusing rate and distance path to the treatment area 6, this mask geometry will provide an energy exposure such as to create the Bragg grating of Figure 6.

The reader will recognize that by varying the various parameters of the apparatus illustrated at Figure 8a, a



wide variety of effects can be accomplished on the substrate surface 5.

Figure 9 is a perspective view of the same apparatus 5 illustrated at Figure 8a, showing additional components. An incoming UV beam 20 generated by a 244 nm laser is split into multiple beams that belong to different diffractive orders by a non-apodized phase mask 22. Note that different sources of energy can be used, including a 10 series of UV lasers having different wavelengths. The UV laser beam can also be optically modified before reaching the phase mask 22, including but not limited to, expanded, collimated, polarized and focalized. Other optical elements can be used instead of a phase mask to obtain at 15 least two beams, without departing from the invention, such as using a pair of UV lasers, each generating a separate beam.

From the multiple orders of diffraction produced by 20 the phase mask 22, only the beams 1, 2 that belong to the diffractive orders -1 and +1 enter a rectangular prism 24 having totally reflective sides. A shadow mask 26 located in front of the prism 24 blocks the 0 order beam produced by the phase mask 22. Beams having a higher order of 25 diffraction have trajectories that clear the prism 24. Otherwise, they could be blocked by using one or more shadow masks such as the shadow mask 26.

A thin sheet 28 of UV opaque material, such as metal 30 is placed between the phase mask 22 and the prism 24. The masks 3, 4 described earlier are implemented on the thin sheet by cutting into the thin sheet 28 the apertures designed to condition the beams 1, 2. The beams conditioned by the masks 3, 4 enter the prism 24, totally

reflect on its opposite sides and are re-directed toward the surface 5, which in this example is the core of an optical fiber. The conditioned beams are directed over respective paths that converge toward the surface 5 and they will expose the treatment area on the surface 5 with electromagnetic radiation, as described earlier in connection with Figure 8.

It should be expressly noted that an optical system different from the prism 24 could be used to re-direct the beams conditioned by the masks 3, 4 toward the surface 5, without departing from the spirit of the invention.

Although not shown in the drawings, it has been found advantageous to place a focusing lens in the path of each conditioned beam to focalize the entire energy of each beam on the treatment surface.

Figures 10a and 10b illustrate another example of masks that can be used to create a Bragg grating. The masks 30 and 32 are generally similar to the masks 3 and 4 with the added feature of introducing a phase shift in at least one of the beams conditioned by the masks 30 and 32. Specifically, each mask 30, 32 is made from a plate of glass or any other suitable material with an opaque coating whose outline defines the desired cross-sectional shape to be imparted to each beam reaching the mask 30, 32. In order to provide phase control in one or both masks 30, 32 the thickness of the transparent areas of the masks 30, 32 are non-uniform. For example, the areas 34, 36 of the mask 30 are somewhat thinner than the remainder of the mask 30. As it will be apparent to a person skilled in the art, the non-uniform thickness will introduce a phase shift in the beam. The phase shift

feature provides an added degree of control on the distribution of the energy on the substrate surface 5 in creating the Bragg grating.

5        It will be apparent to a person skilled in the art that the characteristics of the phase shift such as the degree of phase shift imparted to the beam, the zones of the beam that are subjected to a phase shift, among others, can widely vary without departing from the spirit  
10 of the invention.

      In a non-limiting example, the mask 30, 32 with the phase shift feature can be made by etching the areas of the glass plate where the reduced thickness is desired. It  
15 will be apparent to a person skilled in the art that the other ways to provide the mask 30, 32 with a non-uniform thickness can be used without departing from the spirit of the invention.

20        Various modifications to the apparatus and its method of operation can be considered without departing from the spirit of the invention.

      In a first possibility, the ratio between the  
25 surfaces 8 and 10 of the treatment area 6 can be varied by using individually adjustable focalizing lenses for each of the beams conditioned by the masks 3, 4, and changing either the relative focalizing rates or the relative focalizing path lengths. One can also consider using a  
30 simple mechanism, such as a shutter to block temporarily one of the beams conditioned by the masks 3, 4 to change the ratio between the surfaces 8 and 10. Yet, another possibility is to use masks 3, 4 providing dynamically variable apertures. Such masks could be made from liquid-

crystal polymer that can provide an aperture whose size, location and shape can be electronically varied.

In a second possibility, the apparatus can be  
5 provided with a grating growth in-situ monitoring, of the type known in the art, that outputs a feed-back signal which can be used to dynamically adjust the operation of the apparatus such as to achieve the desired result.

10 In a third possibility, the apparatus of Figure 9 can be used to create a hydrogen profile in the substrate surface 5 by first loading the substrate surface 5 with hydrogen by using any suitable technique and then treating the substrate surface 5 in the apparatus of Figure 9. The  
15 two beams illuminating the treatment area create a thermal profile on the surface of the substrate surface 5, where more thermal energy is concentrated in the fringes of the interference pattern than between the fringes. This thermal energy pattern will produce a corresponding  
20 hydrogen loading pattern, due to the fact that hydrogen will migrate out of the substrate surface 5 at a rate that is functional of the thermal energy input. In other words, the areas of the substrate surface 5 that are heated more will out-gas more hydrogen than the areas  
25 heated less. The result of this operation is a substrate surface 5 having a desired hydrogen profile.

To create such hydrogen profile it has been found advantageous to use a CO<sub>2</sub> laser as a source of coherent  
30 light.

Once the hydrogen profile has been created the substrate surface 5 can be exposed to uniform, even non coherent, UV light. The hydrogen concentration profile

will result in a photo-sensitivity profile, and so in an effective index profile in the substrate surface 5.

It should be noted that the various examples of  
5 implementation of the invention can be practiced with different forms of treatment area exposition such as flooding exposition, a scanning exposition or a multi-sweeping exposition.

10 Although various embodiments have been illustrated, this was for the purpose of describing, but not limiting, the invention. Various modifications will become apparent to those skilled in the art and are within the scope of this invention, which is defined more particularly by the  
15 attached claims.